

# **EXHIBIT B**

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

(Please print or type)

Name of Customer: Abraham Kleinman  
Mailing Address: 35 Sutton Place  
City: New York State: NY Zip: 10022  
Account No.: 1-ZR165-3-0  
Taxpayer I.D. Number (Social Security No.): 083-03-0505

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

\*\*\*\*\*

1. Claim for money balances as of **December 11, 2008**:

- a. The Broker owes me a Credit (Cr.) Balance of \$ 0 N/A
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0 N/A
- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, it **must be enclosed**  
with this claim form. \$ 0
- d. If balance is zero, insert "None." 0

2. Claim for securities as of **December 11, 2008**:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

	<u>YES</u>	<u>NO</u>
a. The Broker owes me securities	<u>✓</u>	
b. I owe the Broker securities		<u>✓</u>
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
	<u>See November 30, 2008</u>		
	<u>Account Statement</u>		
	<u>attached at Exhibit A.</u>		

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain.  | _____      | _____ ✓   |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?   | _____      | _____ ✓   |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____      | _____ ✓   |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)    | _____      | _____ ✓   |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.                         | _____      | _____ ✓   |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.                   | _____      | _____ ✓   |
| 9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.  | _____      | _____ ✓   |


Please list the full name and address of anyone assisting you in the preparation of this claim form: Brian J. Neville, Esq.

Laxi Neville, LLP, 1412 Broadway, Suite 1407, New York NY 10018

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date June 24, 2009 Signature   
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly,  
together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

1412 Broadway, Suite 1407  
New York, NY 10018  
Tel (212) 696-1999  
Fax (212) 566-4531  
www.laxneville.com

**BARRY R. LAX**  
**BRIAN J. NEVILLE**

**BRIAN MADDOX**  
**SANDRA P. ESPINOSA**  
**RAQUEL TERRIGNO**

**OF COUNSEL:**  
**DAVID S. RICH**

June 26, 2009

**VIA FEDERAL EXPRESS**

Irving H. Picard  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Avenue, Suite 800  
Dallas, TX 75201

**RE: Abraham Kleinman/Bernard L. Madoff Investment Securities LLC**

Dear Mr. Picard:

This firm represents Abraham Kleinman and has assisted him in the preparation of his Bernard L. Madoff Investment Securities LLC ("Madoff Securities" or "Madoff") SIPC Customer Claim Form. Enclosed herein you will find a completed Customer Claim Form for Mr. Kleinman's IRA account. Additionally, below is a description of the relationship between Mr. Kleinman and Madoff Securities. The statements made in this letter are true and accurate to the best of our knowledge and belief, and are being provided to support Mr. Kleinman's SIPC claims.

In or about 1990, Mr. Kleinman opened an account with Madoff Securities. Mr. Kleinman withdrew funds from his account with Madoff for monthly maintenance and tax payments and for living and medical expenses. He also took statutorily required minimum distributions for IRA accounts, starting in 1990 when he turned 70 ½ years old. The balance in

Irving H. Picard  
June 26, 2009  
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Mr. Kleinman's account as of November 30, 2008 is \$403,094.82. Mr. Kleinman's November 30, 2008 account statement is attached as Exhibit A.

Mr. Kleinman and his wife, Marjorie Kleinman, are 89 years old, and have been married for 69 years. Mr. Kleinman and his wife invested all of their retirement funds and a significant amount of their non-retirement funds with Madoff Securities, and have lost it all. Their income is now significantly diminished. They are in very poor health – Mrs. Kleinman is infirm and cannot be without assistance at any time, and Mr. Kleinman is quickly losing his capacities as well. At some point in the near future, they will need to pay for elderly care. They have suffered a great deal of emotional stress as a result of the Madoff fraud. Because of their poor health and age, Mr. Kleinman and his wife are unable to resume work.

Mr. Kleinman received account statements from Madoff indicating the purchases and sales of securities during that month, and listing each of the open securities positions held in the account. The securities listed on these statements were real, widely held securities and their prices could be readily verified against objective and publicly available market information. Based upon the account statements and the confirmations, Mr. Kleinman at all times expected to have those securities in his account. Mr. Kleinman always believed SIPC coverage would cover the securities listed as being in his account should Madoff Securities ever fail.

### **CONCLUSION**

Abraham Kleinman is seeking the full protection of SIPA for his account as follows:

- Account No: 1-ZR165-3-0      Abraham Kleinman

**Total = \$403,094.82**

**LAX**  
**NEVILLE**

Irving H. Picard  
June 26, 2009  
Page 3 of 3

If there are any questions regarding this matter or if you require additional documents and information, please do not hesitate to contact me. Thank you.

Very truly yours,  
Lax & Neville, LLP

By: Brian J. Neville spe  
Brian J. Neville

ENC.



# EXHIBIT A

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7193 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York ☐ London  
\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
ABE KLEINMAN

RECEIVED FROM  
11/30/09

PAGE  
1

YOUR ACCOUNT NUMBER  
1-ZR155-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*6253

35 SUTTON PLACE  
NEW YORK NY 10022

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	336		1779	BALANCE FORWARD	29.900	22,463.79	
11/12	240		2281	WELLS FARGO & CO NEW	10,027.90		
11/12	200		6105	HUBBETT PACKARD CO	8,335.00		
11/12	135		6607	WAL-MART STORES INC	11,620.64		
11/12	704		10431	INTERNATIONAL BUSINESS MACHS	87.270		
11/12	552		10932	EXXON MOBIL CORP	72.880		
11/12	264		19594	INTEL CORP	14.510		
11/12	350		15259	JOHNSON & JOHNSON	59.580		
11/12	192		23910	J.P. MORGAN CHASE & CO	38.530		
11/12	112		28236	COCA COLA CO	44.660		
11/12	208		32362	MCDONALDS CORP	55.370		
11/12	760		36888	MERCK & CO	28.350		
11/12	324		41214	MICROSOFT CORP	21.810		
11/12	152		54192	ORACLE CORPORATION	17.300		
11/12	83		54694	PEPSICO INC	56.410		
11/12	549		58518	APOLLO INC	100.780		
11/12	142		59020	PRIZER INC	15.940		
11/12	288		62844	ABBOTT LABORATORIES	54.610		
11/12	104		63346	PROCTER & GAMBLE CO	64.080		
11/12	203		67170	ARMCO INC	28.160		
11/12	490		67672	CHILLIP HERRIS INTERNATIONAL	43.500		
11/12	160		71496	BANK OF AMERICA	10,362.20		
11/12	529		71998	QUALCOMM INC	53.770		
11/12				CITY GROUP INC	17.510		
11/12				CONTINUED ON PAGE 2			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London  
New York □ London

DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
ABE KLEINMAN

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

Affiliated with  
Maddoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8UT  
Tel 020 7493 6222

PERIOD ENDING  
11/30/03

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2

YOUR ACCOUNT NUMBER  
1-ZRJ15-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*6253

35 SUTTON PLACE  
NEW YORK NY 10022

08-01780 gm Doc 1991-2 File 02/23/10 Entered 02/23/10 20:14:15 Exhibit B  
3767766 Pg 11 of 15

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	120		75822	SCHLUMBERGER LTD	49.480	5,941.60
11/12	288		76324	COMCAST CORP	16.510	4,765.88
11/12	568		80148	EL A	27	15,358.00
11/12	144		80650	AT&T INC	52.510	7,556.44
11/12	96		84474	CONOCOPHILIPS	52.040	4,998.84
11/12	584		84976	UNITED PARCEL SVC INC	16.730	9,793.32
11/12	168		88800	CLASS B	29.530	4,967.04
11/12	200		89302	CISCO SYSTEMS INC	73.430	14,694.00
11/12	96		93126	U S BANCORP	53.160	5,106.36
11/12	1,016		93628	CHEVRON CORP	19.630	19,984.08
11/12	272		97452	UNITED TECHNOLOGIES CORP	30.410	8,281.52
11/12	24		97954	GENERAL ELECTRIC CO	337.400	8,097.60
11/12		375,000	24385	VERIZON COMMUNICATIONS	99.936	
11/12				GOOGLE		
11/12				U S TREASURY BILL		
11/12				DUE 2/12/2009		
11/12				2/12/2009		
11/12				FIDELITY SPARTAN	DIV	
11/12				U S TREASURY MONEY MARKET		
11/12		15,773	19489	DIV 11/12/03		
11/12				FIDELITY SPARTAN		
11/12	26,635		28957	U S TREASURY MONEY MARKET		26,635.00
11/12				U S TREASURY MONEY MARKET		
11/12				FIDELITY SPARTAN		
11/12				U S TREASURY MONEY MARKET		
11/12				DIV 11/19/08		
11/12				CONTINUED ON PAGE 3		

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New York □ London

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11/30/03

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YOUR ACCOUNT NUMBER  
1-TR165-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*6253

08-01789-0

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DATE	SOLICIT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TRIN	DESCRIPTION	PRICE ON SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		26,635	54404	FIDELITY SPARTAN	1		26,635.00
11/19	50,000		58809	U S TREASURY MONEY MARKET	99.926	49,963.00	
11/19	643		63440	U S TREASURY HILL DUE 03/26/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET NEW BALANCE	1	643.00	
	568 152 104 88 480 200 534 520 142 288			SECURITY POSITIONS AT&T INC ABBOTT LABORATORIES AMGEN INC APPLE INC BANK OF AMERICA CHEVRON CORP CISCO SYSTEMS INC CITI GROUP INC Coca Cola CO COMCAST CORP CL A	MKT PRICE 28.360 52.390 55.540 97.670 16.250 79.010 16.540 8.290 46.870 17.340	44,815.35	
	144 504 1,016			CONDOPHILIPS EXXON MOBIL CORP GENERAL ELECTRIC CO	52.520 80.150 17.170		
				CONTINUED ON PAGE 4			

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BERNARD L. MADOFF  
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 New York ☐ London  
 \*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
 ABE KLEINMAN

PERIOD ENDING  
 11/30/03  
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 \*\*\*\*\*6253  
 YOUR ACCOUNT NUMBER  
 1-ZR165-3-0

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35 SUTTON PLACE  
 NEW YORK NY 10022

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TON	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	24			GOOGLE	292.960		
	240			HEWLETT PACKARD CO	35.280		
	552			INTEL CORP	13.800		
	136			INTERNATIONAL BUSINESS MACHS	81.500		
	360			J.P. MORGAN CHASE & CO	31.660		
	264			JOHNSON & JOHNSON	58.580		
	112			MCDONALDS CORP	58.750		
	208			MERCK & CO	26.720		
	760			MICROSOFT CORP	20.220		
	384			ORACLE CORPORATION	16.090		
	152			PEPSICO INC	56.700		
	648			PFIZER INC	16.430		
	200			PHILLIP MORRIS INTERNATIONAL	42.160		
	288			PROCTER & GAMBLE CO	64.350		
	160			QUALCOMM INC	33.570		
	120			SCHLUMBERGER LTD	50.740		
	643			FIDELITY SPARTAN	1		
				U S TREASURY MONEY MARKET			
				U S BANCORP	26.980		
				UNITED PARCEL SVC INC	57.600		
				CLASS B			
				U S TREASURY BILL	99.971		
	50,000			DUE 03/26/2009			
				3/26/2009			
				UNITED TECHNOLOGIES CORP	48.530		
	96			CONTINUED ON PAGE 5			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.**  
**ABE KLEINMAN**

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

35 SUTTON PLACE  
NEW YORK

NY 10022

PERIOD DATES  
**11/30/08**

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YOUR ACCOUNT NUMBER  
**1-ZR165-3-0**

YOUR TAX PAYER IDENTIFICATION NUMBER  
**\*\*\*\*\*6253**

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	272 208 336			VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW	32.650 55.880 28.890		
				MARKET VALUE OF SECURITIES LONG 403,094.82 SHORT			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

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New York ☐ London

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ABE KLEINMAN**

35 SUTTON PLACE  
NEW YORK

NY 10022

PERIOD ENDING  
**11/30/08**

YOUR ACCOUNT NUMBER  
**1-ZR155-4-0**

YOUR TAX PAYER IDENTIFICATION  
**\*\*\*\*\*6258**

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD		
11/12			45540	S & P 100 INDEX	15.800	
11/12			49866	NOVEMBER 460 CALL	17.800	14,248.00
11/19			35970	S & P 100 INDEX	26	
11/19			40295	NOVEMBER 450 PUT	30	24,008.00
11/19			44620	S & P 100 INDEX	3	2,408.00
11/19			48945	DECEMBER 420 PUT	37	
11/19				NOVEMBER 460 CALL		
11/19				S & P 100 INDEX		
11/19				NOVEMBER 450 PUT		
11/19				NEW BALANCE		
				SECURITY POSITIONS		
				S & P 100 INDEX	MKT PRICE	
				DECEMBER 430 CALL	23.300	
				S & P 100 INDEX		
				DECEMBER 420 PUT	16.500	
				MARKET VALUE OF SECURITIES		
				LONG		
				SHORT		
						18,640.00-
						13,200.00